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PATENT

#7



POCKET NO.: UPNA-0034/P2952

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Arjun G. Yodh, et al.

Application No.: 10/526,941

Filing Date: September 8, 2005

For: **CARBON NANOTUBES: HIGH SOLIDS DISPERSIONS AND NEMATIC GELS THEREOF**

Confirmation No.: 7568

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

DATE OF DEPOSIT:

*December 14, 2005*

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

*Elizabeth A. McLoud*

TYPED NAME: Elizabeth A. McLoud

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).



In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

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the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

- ☐ Certification in Accordance with § 1.97(e) is attached; or  
☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of reference numbers **136 and 146 - 158** listed on the attached Form PTO-1449 are enclosed herewith.

- ☒ Copies of reference numbers **137 - 145** on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

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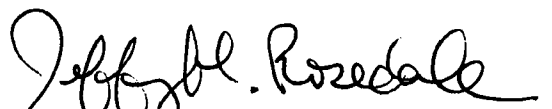
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☐ The relevance of those listed references which are not in the English language is as follows:

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: December 13, 2005



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<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office		Docket No. UPNA-0034/P2952	Application No. 10/526,941
		Applicant Arjun G. Yodh, et al.	
		Filing Date September 8, 2005	Group Not Yet Assigned
		Confirmation No. 7568	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
/BMM/	136	"Anatomy of a patenting area: Solubilization of carbon nanotubes based on the Nano SPRINT Encyclopedia of Carbon Nanotubes," <i>Carbon Nanotubes Monthly</i> , 2005, 4, <a href="http://www.nanosprint.com/nanotubes/newsletter/monthly_1205/anatomy.html">http://www.nanosprint.com/nanotubes/newsletter/monthly_1205/anatomy.html</a> , 2 pages	
<b>EXAMINER</b>		/Brittany Martinez/	<b>DATE CONSIDERED</b> 08/04/2008

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<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office	Docket No. UPNA-0034/ P2952	Application No. 10/526,941
	Applicant Arjun G. Yodh, et al.	
	Filing Date September 8, 2005	Group Not Yet Assigned
	Confirmation No. 7568	

## U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
/BMM/	137	6,187,823 A1	02/13/01	Haddon, et al.	516	32
	138	6,331,262 B1	12/18/01	Haddon, et al.	252	502
	139	6,368,569 B1	04/09/02	Haddon, et al.	423	460
	140	2001/0010809 A1	08/02/01	Haddon, et al.	423	447.2
	141	2001/0016608 A1	08/23/01	Haddon, et al.	516	32
	142	2002/0048632 A1	04/25/02	Smalley, et al.	427	230
	143	2003/0001141 A1	01/02/03	Sun, et al.	252	301.25
↓	144	2003/0065206 A1	04/03/03	Bolskar, et al.	558	87
/BMM/	145	2005/0112053 A1	05/26/05	Clarke, et al.	423	447.3
<b>EXAMINER</b> /Brittany Martinez/			<b>DATE CONSIDERED</b> 08/04/2008			

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<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office				Docket No. UPNA-0034/ P2952		Application No. 10/526,941	
				Applicant Arjun G. Yodh, et al.			
				Filing Date September 8, 2005		Group Not Yet Assigned	
				Confirmation No. 7568			
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initial		Document No.	Date	Country	Translation		
					YES	NO	
/BMM/	146	WO 02/088025 A1	11/07/02	PCT			
	147	WO03/006725 A1	01/23/03	PCT			
	148	WO2005/068556 A1	07/28/05	PCT	X Abstract		
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<b>EXAMINER</b> /Brittany Martinez/				<b>DATE CONSIDERED</b> 08/04/2008			